

Electronic Acknowledgement Receipt

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| EFS ID: | 5105299 |
| Application Number: | 10631165 |
| International Application Number: | |
| Confirmation Number: | 7541 |
| Title of Invention: | METHODS AND SYSTEMS FOR PROCESSING AND MANAGING CORPORATE ACTION INFORMATION INCLUDING VOLUNTARY AND MANDATORY CORPORATE ACTION DATA |
| First Named Inventor/Applicant Name: | Kimberly D. Seaman |
| Customer Number: | 26285 |
| Filer: | Michael Lazzara/Mona Cornelia |
| Filer Authorized By: | Michael Lazzara |
| Attorney Docket Number: | 020673 |
| Receipt Date: | 06-APR-2009 |
| Filing Date: | 31-JUL-2003 |
| Time Stamp: | 17:45:47 |
| Application Type: | Utility under 35 USC 111(a) |

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| Submitted with Payment | yes |
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| Payment was successfully received in RAM | \$810 |
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| File Listing: | | | | | |
|---|--|---------------------------|--|------------------|------------------|
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| 1 | Miscellaneous Incoming Letter | Amendment_Transmittal.pdf | 254977 | no | 4 |
| | | | 0bfa2a60fd20bd7e45d516e54c37acac5ade5a89 | | |
| Warnings: | | | | | |
| Information: | | | | | |
| 2 | | Amendment.pdf | 1201508 | yes | 18 |
| | | | ed375057affdb9dde248dc5200cc8f83896489ab | | |
| | Multipart Description/PDF files in .zip description | | | | |
| | Document Description | | Start | End | |
| | Amendment Submitted/Entered with Filing of CPA/RCE | | 1 | 1 | |
| | Claims | | 2 | 16 | |
| | Applicant Arguments/Remarks Made in an Amendment | | 17 | 18 | |
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| 3 | Request for Continued Examination (RCE) | RCE_Transmittal.pdf | 136762 | no | 1 |
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| 4 | | Supplemental_IDS.pdf | 888390 | yes | 8 |
| | | | a77f2d78aa7b56b3315951e23d577a930d044540 | | |
| | Multipart Description/PDF files in .zip description | | | | |
| | Document Description | | Start | End | |
| | Information Disclosure Statement Letter | | 1 | 2 | |
| | Information Disclosure Statement (IDS) Filed (SB/08) | | 3 | 8 | |
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| 5 | NPL Documents | 60-613672_Application.pdf | 17031492 | no | 187 |
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| 6 | NPL Documents | 10-631243_Application.pdf | 25610240 | no | 220 |
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| 15 | NPL Documents | NY_Bankers_Service_09-22-2003.pdf | 325179 | no | 2 |
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| 33 | NPL Documents | Memorandum_dated_May_2_2003.pdf | 926567 | no | 8 |
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